Monitoring Reporting (M&R) and Learning (L)
in the Pilot Program for Climate Resilience

**FIRST ROUND OF MONITORING AND REPORTING 2013: ESTABLISHING BASELINES AND EXPECTED RESULTS**

Christine Roehrer
Senior Monitoring and Evaluation Specialist
Climate Investment Funds Administrative Unit
Washington D.C.
Introduction

The PPCR Journey on Monitoring and Reporting
  Results Framework
  Core Indicators
  Toolkit for Monitoring and Reporting
  Work Plans for Monitoring and Reporting

Establishing Baselines and Expected Results in 2013

Lessons learnt
CIF in Action

PPCR:
18 country and 2 regional programs
Why do we monitor and report on the PPCR?

Evidence – based decision making
The PPCR Journey on Monitoring and Reporting
2010 Results Framework (old): 22 indicators, including project level

<table>
<thead>
<tr>
<th>Global - CIF Final Outcome (20 yrs)</th>
<th>Improved low carbon, climate resilient development</th>
</tr>
</thead>
<tbody>
<tr>
<td>Country - PPCR Transformative Project (10-15 yrs)</td>
<td>Increased resilience in economic, social, and eco-systems to CV &amp; CC through transformed social and economic development</td>
</tr>
<tr>
<td>Country - PPCR Catalytic Replication Outcomes (5-10 yrs)</td>
<td>Improved institutional structure and capacity to respond to CV &amp; CC</td>
</tr>
<tr>
<td>Project/Program - PPCR Outputs &amp; Outcomes (2-7 yrs)</td>
<td>Increased capacity and consensus on integration of climate resilience into country strategies</td>
</tr>
<tr>
<td>Project/Program - PPCR Activities (1-7 yrs)</td>
<td>Increased capacity for adaptation, awareness of CV &amp; CC effects (CV/CV modeling, CV impact analysis options) among government, private sector, civil society</td>
</tr>
<tr>
<td>Project/Program - PPCR Inputs</td>
<td>New &amp; additional resources supplementing existing ODA flows</td>
</tr>
</tbody>
</table>

Regional level: Replication of PPCR learning in non-PPCR countries

CIF Program
- New & additional resources for climate resilience
- Increased other public & private sources of financing / investment
- Leveraging

Policy Reform / Development / Enabling Environment
- Investments

Knowledge Management
- Enhanced integration of learning / knowledge into climate resilience development
- Increased learning and knowledge about adaptation

Climate resilience
- Improved capacity to withstand / recover from CC / CV effects
- Increased capacity for project specific climate adaptation / agricultural / water interventions, social safety nets, insurance schemes, etc

Improved quality of life of people living in areas most affected by climate variability (CV) & climate change (CC)
2012 Results Framework (new): 5 core indicators (yellow), 6 optional

**PPCR Revised logic model and results framework**

| Global – CIF Final Outcome |  
| (15 – 20 yrs) | Improved climate resilient development consistent with other CIF objectives |

Country – Contribution of SPCR to Transformative Impact (10-20 yrs / national level)

A1. Increased resilience of households, communities, businesses, sectors and society to climate variability and climate change.

- A1.1 Change in % of households whose livelihoods have improved
- A1.2 Change in losses/damages from CC/CR in PPCR areas
- A1.3 # of people supported by PPCR to cope with CC/CR
- A1.4 % of people with year round access to water

A2. Strengthened climate responsive development planning

- A2.1 Degree of integration of CC in national including sector planning
- A2.2 Change in budget allocations to support CC/CV

Country – SPCR Outcomes

**In order to prepare for and respond to climate variability and climate change ...**

| B1. Adaptive capacities strengthened |
| B2. Institutional frameworks improved |
| B3. Climate information in decision making routinely applied |
| B4. Sector planning, and regulation for climate resilience improved |
| B5. Climate responsive investment approaches identified and implemented |

- B1: Extent to which vulnerable households, communities, businesses and public sector use improved PPCR supported tools
- B2: Evidence of strengthened government capacity and coordination mechanism to mainstream CR
- B3: Evidence showing that climate information, products/services are used in decision making in climate sensitive sectors
- B4: Leverage of PPCR funding against public & private investments in climate sensitive sectors
- B5: Quality of & extent to which climate responsive instruments/investment models are developed & tested
<table>
<thead>
<tr>
<th><strong>5 Core Indicators:</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Each w/ guidance and tool for monitoring/reporting</strong></td>
<td></td>
</tr>
<tr>
<td><strong>1</strong></td>
<td>Degree of Integration of climate change in national, including sector, planning</td>
</tr>
<tr>
<td><strong>2</strong></td>
<td>Evidence of strengthened government capacity and coordination mechanism to mainstream climate resilience</td>
</tr>
<tr>
<td><strong>3</strong></td>
<td>Quality and extent to which climate responsive instruments/ investment models are developed and tested</td>
</tr>
<tr>
<td><strong>4</strong></td>
<td>Extent to which vulnerable households, communities, businesses and public sector services use improved PPCR supported tools, instruments, strategies and activities to respond to climate variability or climate change</td>
</tr>
<tr>
<td><strong>5</strong></td>
<td>Number of people supported by the PPCR to cope with the effects of climate change</td>
</tr>
</tbody>
</table>
Toolkits for Monitoring and Reporting

CTF

SREP

PPCR

July 2013
Example: PPCR Scorecard

### Monitoring and Reporting Scorecard for PPCR Core Indicator 2

**PPCR Core Indicator 2:** Evidence of strengthened government capacity and coordination mechanism to mainstream climate resilience

**Data Collection Method:** Data scored at the country level

**Sample Strategic Plan for Climate Resilience (SPCR)**

<table>
<thead>
<tr>
<th>Reporting Period: From: July 1, 2012</th>
<th>To: June 30, 2013</th>
</tr>
</thead>
</table>

#### Government Capacity

Complete below the sectors identified as a priority in the SPCR. Insert other priority sectors or ministries below (optional)

<table>
<thead>
<tr>
<th>Are information, studies and assessments addressing climate change, variability and resilience available?</th>
<th>Is the necessary climate change expertise available?</th>
<th>Do national/sector incentives and legislative policies expressly address climate change and resilience?</th>
<th>Does the government/sector participate in the coordination mechanism?</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>b</td>
<td>c</td>
<td>d</td>
<td>e</td>
</tr>
</tbody>
</table>

Score each cell with a score between 0 and 10 where 0 = No, 5 = Halfway and 10 = Yes complete

#### Coordination Mechanism

Name the Coordination Mechanism below

<table>
<thead>
<tr>
<th>Is the coordination mechanism functional e.g., established, effective and efficient?</th>
<th>Does it coordinate climate resilience interventions other than those funded by PPCR?</th>
<th>Is there a broad set of non-governmental stakeholders involved?</th>
<th>Is the relevant climate resilience information in the public domain?</th>
<th>Are females and males participating equally?</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Score each cell with a score between 0 and 10 where 0 = No, 5 = Halfway and 10 = Yes completely

**Achievements:** Write comments, highlights and/or relevant achievements in the reporting period. These should help to explain your score.
In 2013 thirteen PPCR Pilot Countries prepared, agreed and submitted their work plan for monitoring and reporting.
PPCR Journey: Monitoring and Reporting

2010 First generation of results frameworks (4)
2012 Second generation of results frameworks (3)
2013 Toolkits for M&R: CTF, PPCR, SREP

2013 First Rounds of Monitoring and Reporting: PPCR - Baselines and expected results
PPCR Monitoring and Reporting 2013

Establishing baselines and expected results

Baseline Date

Project 1

Project 2

Project 3

Expected Results

Endorsement date of the SPCR

Completion Date of the SPCR
In 2013 **eleven PPCR Pilot Countries** submitted their baselines and expected results.

**Two more PPCR Pilot Countries** have shared advanced draft reports of their baselines and expected results.
Synthesis and examples in Climate Investment Funds

Meeting of the PPCR Sub-Committee
Washington D.C.
November 1, 2013

Pilot Program for Climate Resilience
First Round of Monitoring and Reporting:
Establishing Baselines and Expected Results
Example: Haiti’s scorecard for core indicator 1

## Monitoring and Reporting Scorecard for PPCR Core Indicator 1 Baseline

### PPCR Core Indicator 1: Degree of integration of climate change into national planning

Data Collection Method: Data scored at the country level

### Haiti Strategic Plan for Climate Resilience (SPCR)

<table>
<thead>
<tr>
<th>SPCR Endorsement Date: May 2013</th>
<th>SPCR Completion Date: June 2019</th>
</tr>
</thead>
</table>

Complete below the sectors identified as a priority in the SPCR. Insert other priority sectors or ministries below (optional).

<table>
<thead>
<tr>
<th>National Planning</th>
<th>Sector 1 INFRASTRUCTURE</th>
<th>Sector 2 AGRICULTURE</th>
<th>Sector 3 URBAN/COASTAL PLANNING</th>
<th>Sector 4 METEO SERVICES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Is there an approved climate change plan for the nation/sector?</td>
<td>Resilience strategies been embedded in the central government’s/sector’s principal planning documents?</td>
<td>Has responsibility been assigned to institutions or persons to integrate climate resilience planning?</td>
<td>Have specific measures, e.g., investments and programs, to address climate resilience been identified and prioritized?</td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>b</td>
<td>c</td>
<td>d</td>
</tr>
<tr>
<td>0</td>
<td>2</td>
<td>3</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>0</td>
<td>2</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
<td>5</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>0</td>
<td>0</td>
<td>2</td>
<td>0</td>
</tr>
</tbody>
</table>

Score each cell with a score between 0 and 10 where 0 = No, 5 = Halfway and 10 = Yes completely.

### Achievements:

Write comments, highlights and/or relevant achievements of the PPCR project. These should help to explain your score.

2. Although there’s no specific CC plan for agriculture, CC is acknowledged as a threat in the National Investment Plan for Agriculture (Dev infrastructures rurales. Annexe 1)
3. No specific plan regarding CC has been approved re Meteo Services but commitment exists between the Min of Agriculture and the Min. of the Env. to upgrade hydromet services.
4. Haiti’s Strategic Plan for Development ("PSDH"), envisages within its 2030 goals to “reduce the country’s vulnerability to heavy rains and hurricanes”.
5. There’s no specific mandate to mainstream CC in development plans. However, CIAT has legal responsibility to “undertake legal, regulatory and institutional framework revision of land planning” and, therefore, to integrate CC into development plans.
6. Climate resilience as such has not been prioritized at GoH, yet, "building resilience" (in particular with regards to disaster risk management in urban areas) has become a priority.
7. For the international community (DRR Political Champions) and the GoH as well.
Example: PPCR Scorecard

Preliminary data analysis of PPCR Indicator 1: Degree of integration of climate change into national planning, including sector planning

[Diagram showing analysis for Haiti and Zambia]
Example: Indicator 2

Indicator 2: Evidence of strengthened government capacity and coordination mechanism to mainstream climate resilience
PPCR Pilot Countries Reports on Baselines and Expected Results are published.
Lessons learnt

Participation and motivation is key for success with Monitoring, Reporting, Evaluation and Learning
Lessons from Developing the CIF, including PPCR Results Frameworks

**Challenge:**
Achieving harmonization across systems

**Way forward:**
Focus on a few core indicators

- Focus & reduce complexity
- Support country ownership
- Consider in-country capacity for M&E
- Design and budget for M&E from the start
THANK YOU!

www.climateinvestmentfunds.org/cif/measuring-results

@RoehrerC - Follow me and CIF M&E on Twitter

croehrer@worldbank.org